

Attn: Trading and Market Making/Legal and Compliance/Operations/Systems UNIFORM PRACTICE CODE ADVISORY (UPC # 009-2013) November 1, 2013

WHEN, AS AND IF ISSUED SETTLEMENT DATES_

ISSUE SETTLEMENT DATE

Harvard Bioscience, Inc (Nasdaq: HBIOV)

- Common Stock Ex-Distribution When Issued Thursday, November 7, 2013

Harvard Apparatus Regenerative Technology Inc. (Nasdaq: HARTV)

- Common Stock When Issued Thursday, November 7, 2013

Questions regarding this notice should be directed to: Corporate Data Operations Department, 877-308-0523.

Tara Petta Director