

# Attn: Trading and Market Making/Legal and Compliance/Operations/Systems UNIFORM PRACTICE ADVISORY (UPC # 158-2003) October 1, 2003 WHEN, AS AND IF ISSUED SETTLEMENT DATES

#### <u>ISSUE</u>

## SETTLEMENT DATE

## Chart Industries, Inc. New (OTC:CIDIV)

- Common Stock

## Tuesday, October 7, 2003

## Chart Industries, Inc. New Wt (OTC:CIDWV)

- Warrants Expiring September 12, 2010

Tuesday, October 7, 2003

Questions regarding this notice should be directed to: Market Integrity Department, 203.375.9609.

Tara Petta Associate Director