

## Attn: Trading and Market Making/Legal and Compliance/Operations/Systems UNIFORM PRACTICE ADVISORY (UPC # 028-2003) February 12, 2003

## Information Management Associates, Inc. – Common Stock (OTC:IMAA)

In UPC# 017-2002, members were advised that the above Company's Plan of Reorganization (Plan) filed under Chapter XI of the Federal Bankruptcy Code, became effective and holders of January 8, 2002 would be entitled to receive a pro-rata distribution of cash. Deliveries effected after the record were to have been accompanied by a due-bill representing any future distributions. Since the company has announced that holders must surrender their old certificates in order to receive the initial liquidating distribution of \$0.13 per share, all previously issued dull-bills are null and void

Since surrender of certificates is required, settlement of trades executed on or after February 13, 2003, should be evidenced by a due-bill representing any possible future distributions. Concurrently, the symbol will be changed to **IMAAZ** on February 13, 2003.

## **INTERSHOP** Communications AG – American Depositary Receipts (NASDAQ:ISHP)

Concurrent with a 1-5 reverse stock split of the ordinary shares of INTERSHOP Communications AG, the outstanding ADRs (ISHP) have undergone a ratio change from 1 ADR representing 5 ordinary shares (1:5) to 1 ADR representing 1 ordinary share (1:1).

The ratio change will be effective February 13, 2003 and should not result in a price adjustment to ISHP.

Questions regarding the split of the ordinary shares should be directed to the Agent: Citibank.

Questions regarding this notice should be directed to: Market Integrity Department, (203) 375-9609.

Dorothy L. Kennedy Director