

## **Nasdaq Fund Network (NFN)**

## **Website Logon Request Form for Issuers, Administrators and Pricing Agents**

Please complete and return this form to NFN Operations (Email: <a href="mailto:NFNOps@nasdaq.com">NFNOps@nasdaq.com</a>).

Firm Information	
Request Type	☐ Add Firm ☐ Update Firm Information / Profile
Request Type	Add / IIII
Firm Name	Add / Opdate Oser Logons
I min Name	
Nasdaq Market	
Participant ID (if available)	
NFN Firm Description	☐ Instrument Issuer ☐ Third Party Service Provider
(Check all that apply)	(Fund family, UIT sponsor, etc.) (NFN Pricing Agent, Fund Administrator /
	Distributor)
Mailing Address	
Website	
Request Date	
Issuer Profile	
How do you intend to	Listing Level Data
report data into NFN	Self-Report Report via Third Party Service
system?	(Issuer to submit security applications directly to Provider
	NFN)
	Valuation Data
	Self-Report Report Provider Report via Third Party Service  (Issuer to submit NAVs directly to NFN) Provider
This I Bests Commiss	(Issuer to submit NAVs directly to NFN) Provider
Third Party Service	
Provider Name(s)	
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User Logon Request #1	Add NEN Web Hear Logen Medity NEN Web Hear Logen
Request Type	Add NFN Web User Logon
Cantact Name	Delete NFN Web User Logon
Contact Name	
NFN User ID (if available)	
Department Contact Phone Number	
Contact Fnone Number  Contact Email Address	
	NEW Listing / Designation Module (Upper). This access level allows the contest to
NFN Web Access Level	NFN Listing / Registration Module (HP07) – This access level allows the contact to create new NFN listings, update NFN listing attributes (e.g., issue name, symbol,
	CUSIP) and post financial documentation for existing NFN listings.
	NFN Valuation Module (HP11) – This access level allows the contact to submit daily
	valuation (e.g., NAVs and yields) and distribution (e.g., dividends, interest, capital
	gains) for existing NFN securities. Please note that there is a monthly fee of \$100 per
	user for this access level.
	NFN Valuation – FTP Server Add-On Option – This access level allows the firm to
	automate posting of the NFN valuation data files. Please note that there is a monthly
	fee of \$100 per user for this access level.
User Logon Request #2	100 01 \$100 por 4001 for tillo 400000 10 vol.
Request Type	Add User Logon Modify User Logon
Toquot Type	Delete User Logon

NFN User ID (if available)	NFN User ID (if available)	l l
Contact Phone Number		+
Contact Phone Number Contact Email Address NFN Web Access Level NFN Valuation Module (HP07) NFN Valuation Nedule (	Department	
Contact Email Address NFN Web Access Level NFN Valuation Module (HP07) NFN Valuation Module (HP07) NFN Valuation FTP Server Add-On Option  User Logon Request #3 Request Type Department NFN User ID (if available) Department Contact Phone Number Contact Phone Number NFN Valuation Module (HP07) NFN Valuation Negret #4 Request Type Add User Logon Modify User Logon Delete User Logon  Contact Rame NFN User ID (if available) Department Contact Phone Number Contact Email Address NFN Web Access Level NFN Valuation Module (HP07) NFN Valuation Nodule (HP07) NFN Valuation Module (HP07) NFN Valuation Nodule (HP07) NFN Valuation Module (HP07) NFN Valua		
NFN Web Access Level  NFN Registration Module (HP07)  NFN Valuation Module (HP10)  NFN Valuation Module (HP11)  NFN Valuation Module (HP12)  NFN Valuation Module (HP13)  NFN Valuation Nodule (HP13)  NFN Valuation Module (HP13)  NFN Valuation Nodule		
NFN Valuation Module (HP11)   NFN Valuation - FTP Server Add-On Option		
NFN Valuation – FTP Server Add-On Option	NFN Web Access Level	
User Logon Request #3		
Request Type		☐ NFN Valuation – FTP Server Add-On Option
Delete User Logon		
NFN User ID (if available)	Request Type	Add User Logon Modify User Logon
NFN User ID (if available)		☐ Delete User Logon
Department   Contact Phone Number   Contact Phone Number   Contact Email Address   NFN Web Access Level   NFN Listing / Registration Module (HP07)   NFN Valuation — FTP Server Add-On Option   NFN Valuation — FTP Server Add-On Option   Modify User Logon   Modify User Logon   Modify User Logon   Delete User Logon   Delete User Logon   Modify User Logon   Delete User Logon   Delete User Logon   Delete User Logon   Modify User Logon   Modify User Logon   MFN User ID (if available)   Department   Contact Phone Number   NFN Web Access Level   NFN Listing / Registration Module (HP07)   NFN Web Access Level   NFN Valuation Module (HP11)   NFN Valuation — FTP Server Add-On Option   Notes to NFN Operations / Trading Services   In acting as a Corporate Officer of the Organization listed above, hereby certify that the contacts listed on this NFN User Logon Request form are authorized to submit NFN listing and/or valuation data.   Lalso affirm that my Organization understands and complies with the terms of the NFN Access Agreement.   Corporate Officer Signature   Officer's Name   Officer's Phone Number   Officer's	Contact Name	
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Contact Email Address NFN Web Access Level	Department	
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NFN Valuation Module (HP11)   NFN Valuation - FTP Server Add-On Option	Contact Email Address	
NFN Valuation Module (HP11)   NFN Valuation – FTP Server Add-On Option	NFN Web Access Level	NFN Listing / Registration Module (HP07)
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Officer's Title Officer's Phone Number		
Officer's Phone Number	Signature	
	Signature Officer's Name	
and/or Email Address	Officer's Name Officer's Title	
and/or Linan Address	Officer's Name Officer's Title	
and/or Email Address	Officer's Name Officer's Title Officer's Phone Number	