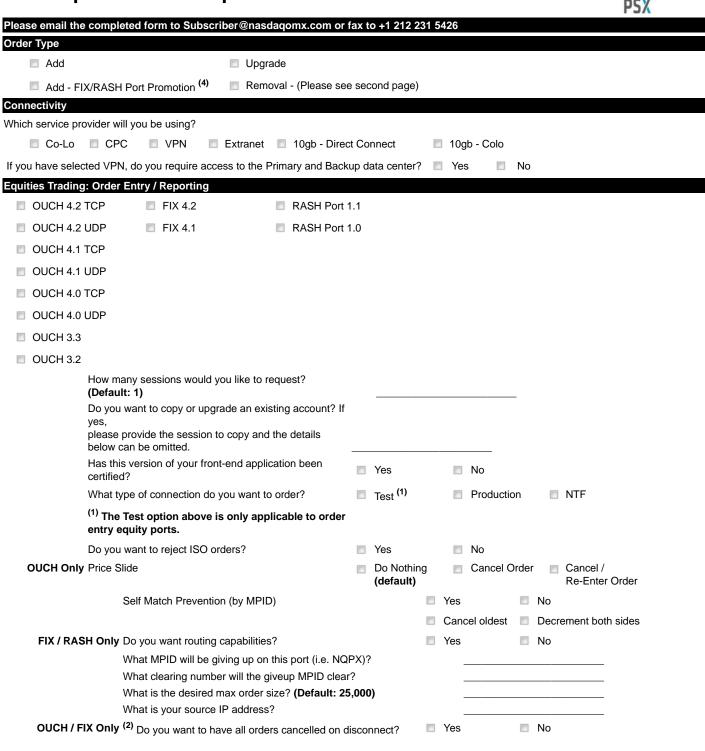
PSX Equities Port Request Form



Drop 2.2 (OUCH Only) RASH Drop (RASH Only) FIX Drop (OUCH Only) FIX Drop (RASH Only) Drop 2.1 (OUCH Only) Please provide the MPID(s) you would like to drop. FIX Only Please provide the Sendercomp(s) you would like to drop. Please select the messages you wish to receive on the drop session. (Check all that apply) Accepts Breaks Cancels Executions Rejects (FIX Only) FIX Only Please select the protocol version applicable: FIX 4.0 FIX 4.1 FIX 4.2 Removal Requests Please provide the product and logon(s) for disconnect below: OUCH FIX Port RASHPort Reason For Removal: Reason Fo	
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OUCH FIX Port RASHPort DROP	
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City / State / Zip Phone: Email:	
Additional Comments or Request Notes	
Order Authorization	
Firm Name: MPID/Firm ID:	
Authorized Contact:(Please Print) Direct Debit Clearing #	
Signature: Date:	

⁽²⁾ The automatic cancel feature is offered through FIX and OUCH implementation. You understand that NASDAQ OMX provides a best effort attempt to cancel orders upon disconnection and a sequenced logoff with OUCH 3.1/4.0 will not initiate a cancel on disconnect. In the event your connection is disconnected, nevertheless, you are required to call the NASDAQ Trade Desk at +1 212 231 5100 to status orders. There is no guaranty that the automatic cancel feature provided by NASDAQ OMX will be error free or operate without interruption. By signing below, you agree and acknowledge that NASDAQ OMX is not liable or responsible in any way for any orders, which may fail to be cancelled using the automatic cancel feature.

⁽³⁾ Please refer to <u>http://nasdaqtrader.com/Trader.aspx?id=PriceListTrading2</u> for the latest price list.

⁽⁴⁾ ETA2011-72 - Please see link for details: <u>http://www.nasdaqtrader.com/TraderNews.aspx?id=ETA2011-72</u>

All services and products requested on this form are governed by the terms in the NASDAQ OMX U.S. Services agreement <u>http://www.nasdaqtrader.com/content/AdministrationSupport/AgreementsTrading/nasdaq_access_agreement.pdf</u> and the NASDAQ OMX Transaction Services Policies <u>http://www.nasdaqtrader.com/Content/AdministrationSupport/AgreementsTrading/NTSPolicies.pdf</u> document. If you do not receive a written confirmation from NASDAQ OMX within 3 business days that your request has been received and processed, please contact NASDAQ OMX Subscriber Services at 212 231 5180 or <u>subscriber@nasdaqomx.com</u>.

Please be advised that beginning on January 2, 2013, all new port requests from subscribers must be received no later than 3:00PM EST to guarantee processing for the next trading day. In the event that NASDAQ OMX receives a request after 3:00PM EST, every effort will be made by Subscriber Services to process the request for the next day, but delivery will not be guaranteed. In all cases, Subscriber Services will respond promptly with an estimated date of completion.