

# Instructions for Mergers, Acquisitions and Name Changes

## **Mergers and Acquisitions**

A firm that has or will change its corporate name due to a merger or acquisition may request that Nasdaq, Inc. and its subsidiaries and affiliates (collectively "Nasdaq") change its name on their invoice and on other documents by either:

- Signing new market data agreements with Nasdaq, executed under the new corporate name, **or**
- Submitting a written notification of merger or acquisition activity and name change to Nasdaq. All requests must include current account numbers.

Please note that if any agreement is being assigned either by affirmative action or by operation of law as a result of the merger or acquisition, please see the relevant Agreement with Nasdaq as Nasdaq's prior consent to the assignment may be required.

## Name Changes Not Due to Merger or Acquisition

Other name change requests may be accommodated without the execution of new agreements. To requests a name change in this instance, submit a letter detailing the name change and the general reason for the change (e.g. marketing purposes). The letter must be on official corporate letterhead reflecting the name of the new organization.

## **Submission of Notification**

Please submit new agreements, notifications and/or consent requests to:

#### Nasdaq Global Data Products

Four Irvington Centre 805 King Farm Boulevard Rockville, MD 20850 DataOps@nasdaq.com

### Reference

The following Nasdaq Agreement section refers to name changes:

#### **NASDAQ Global Data Agreement**

• Section 8. Notices; Notification of Changes

## Questions

For any questions about the Nasdaq market data administrative requirements for name changes, mergers or acquisitions, please email <u>DataOps@nasdaq.com</u>.