

This Service Facilitator Application is for the NASDAQ Global Data Agreement and/or other relevant NASDAQ distributor agreements. NASDAQ requires that your firm submit a <u>separate</u> Service Facilitator Application for each service facilitator that provides a market data service.

* If a particular line item is not applicable, leave blank.

Section 1: Distributor Information

Date of Application:	
Distributor Name:	
Distributor System Name:	
This Application is associated with:	A new Agreement An update of the existing Agreement

Section 2: Service Facilitator Information

Service Facilitator Name:				
Service Facilitator Administrative Contact	Service Facilitator Billing Contact			
Mr./Ms./Mrs.	Mr./Ms./Mrs.			
First Name Last Name	First Name Last Name			
Phone Number	Phone Number			
E-mail Address	E-mail Address			
Group E-mail Address (optional)	Group E-mail Address (optional)			
Billing Address	Billing Address			
Address	Address			

Section 3: Billing, Reporting, Administration Information

Note: NASDAQ can only invoice firms that have signed a market data distributor agreement.

Billing Address						
Billable Entity:	Distributor	or	Service Facilitato	r		
Street Address			Street Address (P.O. Box Numbers Not Accepted)			
City, State, Province, Po	stal Code			Country		
Reporting Information						
NASDAQ Account Numb	oer		-		or	New Invoice
			Dietaile			
Monthly Summary Repo	orting		Distribut Service I	cor Facilitator	Email	TING APPLICATION (NORA)
Monthly Detailed Repor datafeed products and e		s)	Distribut Service F	tor Facilitator	VRXLS/VRCSV Template	

Section 4: Data Feed, Agreement & Display Information

Does the Service Facilitator receive a datafeed/API/uncontrolled stream of data from the Distributor or the Distributor's Group?	Yes - Service Facilitator will sign a market data distributor agreement directly with Nasdaq	No
Does the Distributor agree to be bound by the Service Facilitator Policy as posted in the NASDAQ Data Requirements?	Yes	No - Service Facilitator will sign a market data distributor agreement directly with Nasdaq
Does the Distributor confirm the Service Facilitator Application meets the NASDAQ Display Requirements?	Yes - please attach a screenshot	Not applicable as the Service Facilitator will not display data

Section 5: System Information	Distributor		Service Facilitator	
Functions	Yes	No	Yes	No
1. Entitlements				
2. Entitlement System Details	Name of Entitlement System: Version Number:			
3. Metering/Quote Counting/Usage Counting				
4. Display Software				
5. Display Software Details	Name of DisplaySoftware: Version Number:			
6. Monthly Usage Reporting	_			
7. Subscriber Agreements, if applicable				
8. Subscriber Agreement Administration, if applicable				
9. Subscriber Agreement Administration Details, if applicable	Distributor Indemnification Online Incorporation by Reference Hard Copy/Paper			
10. Other (specify)				

I certify that the information provided on this Service Facilitator Application is accurate.

Distributor Signature:	
Printed Name:	
Title:	
Date:	

All applicable policy details and documents may be accessed at:

http://nasdaqtrader.com/Trader.aspx?id=GDP_Ops